

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

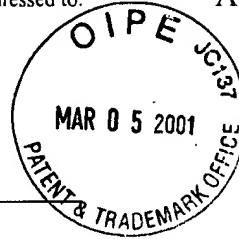
Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

On 2/26/01

TOWNSEND and TOWNSEND and CREW LLP

By: Jordan Ic

*Sector \$*  
**PATENT**  
Attorney Docket No.: 19417-000111US



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William P. Brown et al.

Application No.: 09/657,258

Filed: September 7, 2000

For: METHODS AND SYSTEMS FOR  
IMPLEMENTING SHARED DISK  
ARRAY MANAGEMENT FUNCTIONS

Examiner: Unassigned

Art Unit: 2184

**TRANSMITTAL LETTER –  
RESPONSE TO NOTICE OF MISSING  
PARTS**

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Non-Provisional Application  
Dated October 25, 2000, enclosed are the following to be made of record in the above-  
identified application:

- 1) Preliminary Amendment;
- 2) Amended Figure 18B;
- 3) Petition to Extend Time; and
- 4) Copy of Notice of Missing Parts.

Please charge Deposit Account No. 20-1430 for the following fees:

Small Entity:	(a) Filing Fee (§ 1.16(a)) (Small Entity)	\$355.00
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(b) Excess Claims Fees (§ 1.16(b), (c)):

38 - 20 = 18            x \$9.00 =            \$162.00

7 - 3 = 4            x \$40.00 =            \$160.00

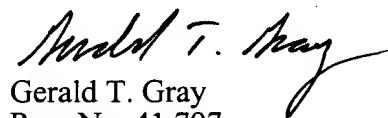
(c) Missing Parts Surcharge            \$65.00

**TOTAL FEES TO BE CHARGED**            **\$742.00**

A verified statement claiming small entity status was filed in the prior application, and small entity status if still proper and desired.

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

  
Gerald T. Gray  
Reg. No. 41,797

**Customer No. 20350**

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SF 1193642 v1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/657,258	09/07/2000	William P. Brown	19417-000111US

20350  
TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



\*OC00000005504720\*

Adjustment date:	04/20/2001	TV0111	00000017	201430	09657258
03/08/2001 AGOITOM	00000001	201430	09657258	01 FC:201	345.00 CH
<del>01 FC:201</del>	<del>355.00 CH</del>			02 FC:203	63.00 CH
<del>02 FC:203</del>	<del>162.00 CH</del>				
<del>03 FC:202</del>	<del>160.00 CH</del>				

Date Mailed: 10/25/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/08/2001 AGOITOM 00000001 201430 09657258

FILED UNDER 37 CFR 1.53(b)

<del>01 FC:201</del>	<del>355.00 CH</del>
<del>02 FC:203</del>	<del>162.00 CH</del>
<del>03 FC:202</del>	<del>160.00 CH</del>

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$636.
  - \$324 for 18 total claims over 20.
  - \$312 for 4 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1456.

The following item(s) appear to have been omitted from the application:

- Figure(s) 18B described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items),

RECEIVED  
00 OCT 31 2001  
U.S. PATENT AND TRADEMARK OFFICE  
37 CFR 1.53(b)  
TOWNSEND & CREW  
20350

04/23/2001 MASSANI 00000002 201430 09657258  
65.00 CH  
01 FC:205